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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/864,415	
	Filing Date	May 23, 2001	
	First Named Inventor	R. Michael Daley	
	Art Unit	3691	
	Examiner Name	Lalita M. Hamilton	
Total Number of Pages in This Submission	7	Attorney Docket Number	

ENCLOSURES (Check all that apply)		
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Firm Name	JENSEN & PUNTIGAM, P.S.		
Signature	<i>Clark A. Puntigam</i>		
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Date	March 30, 2007	Reg. No.	25,763

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: R. Michael Daley et al
Serial No : 09/864,415
Filed : May 23, 2001
Title : SYSTEM AND METHOD FOR MANAGING INVESTMENT
ACCOUNTS FOR FINANCIAL INSTITUTION WHICH PROVIDES
INVESTMENT ASSISTANCE TO INDIVIDUAL CLIENTS

March 30, 2007

MAIL STOP AMENDMENT
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AMENDMENT

Dear Sir:

Reconsideration of the application in view of the amendments to the claims and the
Remarks is respectfully requested.

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